

AO 120 (Rev. 08/10)

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| <b>TO:</b><br><b>Mail Stop 8</b><br><b>Director of the U.S. Patent and Trademark Office</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b> | <b>REPORT ON THE</b><br><b>FILING OR DETERMINATION OF AN</b><br><b>ACTION REGARDING A PATENT OR</b><br><b>TRADEMARK</b> |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been  
filed in the U.S. District Court District of Delaware on the following

☐ Trademarks or ☒ Patents. ( ☐ the patent action involves 35 U.S.C. § 292.):

|                                       |                                |   |
|---------------------------------------|--------------------------------|---|
| DOCKET NO.                            | DATE FILED<br>4/24/2012        | U.S. DISTRICT COURT<br>District of Delaware |
| PLAINTIFF<br>Round Rock Research, LLC |                                | DEFENDANT<br>J. C. Penney Company, Inc.     |
| PATENT OR<br>TRADEMARK NO.            | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK               |
| 1 See Attached                        |                                |   |
| 2                                     |                                |   |
| 3                                     |                                |   |
| 4                                     |                                |   |
| 5                                     |                                |   |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

|                            |   |                               |
|----------------------------|---|-------------------------------|
| DATE INCLUDED              | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |
| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK  | HOLDER OF PATENT OR TRADEMARK |
| 1                          |   |                               |
| 2                          |   |                               |
| 3                          |   |                               |
| 4                          |   |                               |
| 5                          |   |                               |

In the above—entitled case, the following decision has been rendered or judgement issued:

|                    |
|--------------------|
| DECISION/JUDGEMENT |
|--------------------|

|       |                   |      |
|-------|-------------------|------|
| CLERK | (BY) DEPUTY CLERK | DATE |
|-------|-------------------|------|

Copy 1—Upon initiation of action, mail this copy to Director    Copy 3—Upon termination of action, mail this copy to Director  
Copy 2—Upon filing document adding patent(s), mail this copy to Director    Copy 4—Case file copy

| PATENT OR<br>TRADEMARK NO. | DATE OF PATENT<br>OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|----------------------------|--------------------------------|-------------------------------|
| 1 5,500,650                | 3/19/1996                      | Round Rock Research, LLC      |
| 2 5,627,544                | 5/6/1997                       | Round Rock Research, LLC      |
| 3 5,974,078                | 10/26/1999                     | Round Rock Research, LLC      |
| 4 US 6,459,726 B1          | 10/1/2002                      | Round Rock Research, LLC      |
| 5 US RE41,531 E            | 8/17/2010                      | Round Rock Research, LLC      |
| 6 5,266,925                | 11/30/1993                     | Round Rock Research, LLC      |
| 7 5,583,850                | 12/10/1996                     | Round Rock Research, LLC      |
| 8 5,986,570                | 11/16/1999                     | Round Rock Research, LLC      |
| 9 US 7,265,674 B2          | 9/4/2007                       | Round Rock Research, LLC      |
| 10 US RE 41,562 E          | 8/24/2010                      | Round Rock Research, LLC      |